

1c854 U.S. - PTO
07/05/00

07- 07- 00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

A

Inventorship..... Horn et al.
Applicant..... Marketocracy
Attorney's Docket No. MK1-003US
Title: System and Method for Determining and Tracking Performance Metrics for Individual Investors

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09/610160
07/05/00

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Lewis C. Lee (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Specification—title page, plus 24 pages, including 24 claims and Abstract
2. Transmittal letter including Certificate of Express Mailing
3. 3 Sheets Formal Drawings (Figs. 1-4)
4. Return Post Card

Large Entity Status []

Small Entity Status [x]

Date: 7/5/2000

By: L. C. Lee
Lewis C. Lee
Reg. No. 34,656

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) EL624352839

Date: 7/5/2000

By: Lori A. Vierra
Lori A. Vierra